

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Suzanne Cory, et al.

Serial No.: 09/155,327

Art Unit: 1646

Filing Date: March 29, 1999

Docket: 11686

For: NOVEL MAMMALIAN GENE,
BCL-W, BELONGS TO THE
BCL-2 FAMILY OF APOPTOSIS-
CONTROLLING GENES

Date: October 7, 1999

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

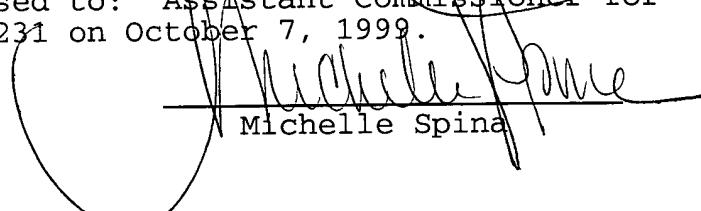
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Chittenden, T., et al. (1995) *Nature* 374:733-736.
2. Cruz-Reyes, J., et al. (1995) *Gene* 158:171-179.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on October 7, 1999.

Dated: October 7, 1999

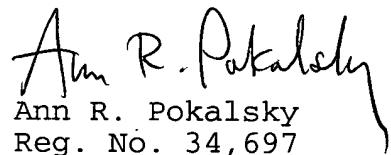

Michelle Spina

3. Fang, W., et al. (1994) *Cloning of Mouse*: 4388-4398.
4. Gibson, L., et al. (1996) *Oncogene* 13: 665-675.
5. European Patent No. 0 252 685 A2, published January 13, 1988.
6. International Publication No. WO 95/00642, published January 5, 1995.

Enclosed are copies of each of the references listed above, all of which are in the English language. Inasmuch as this Information Disclosure Statement is being submitted prior to the issuance of the first Office Action on the merits, no fee or certification is due.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined within 37 C.F.R. §§1.97 and 1.98.

Respectfully submitted,


Ann R. Pokalsky
Reg. No. 34,697

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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In Re Application Of: Suzanne Cory, et al.

OCT 12 1999

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Serial No.
09/155,327

Filing Date
March 29, 1999

Examiner

Group Art Unit
1646

Title: NOVEL MAMMALIAN GENE, BCL-W, BELONGS TO THE BCL-2 FAMILY OF
APOPTOSIS-CONTROLLING GENES

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

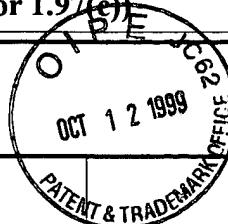
OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 11686

In Re Application Of: Suzanne Cory, et al.



Serial No.
 09/155,327

Filing Date
 March 29, 1999

Examiner

Group Art Unit
 1646

**Title: NOVEL MAMMALIAN GENE, BCL-W, BELONGS TO THE BCL-2 FAMILY OF
 APOPTOSIS-CONTROLLING GENES**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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Ann R. Pokalsky
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I certify that this document and fee is being deposited on 10/07/99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

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Michelle Spina
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*This certificate may only be used if paying by deposit account.

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Dated: October 7, 1999

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